

SECTOP3

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**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

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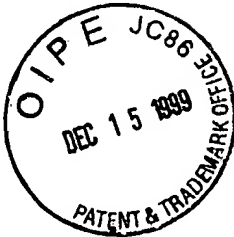
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\*\*REGISTERED PATENT AGENTS



December 15, 1999

**WRITER'S DIRECT NUMBER:**

(202) 371-2667

**INTERNET ADDRESS:**

MIKEM@SKGF.COM

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Patent Application  
Appl. No. 09/407,115  
Filed: September 27, 1999  
For: **Wireless Local Areal Audio/Visual Information  
Distribution System and Method By Impulse Radio**  
Inventors: Larry W. Fullerton *et al.*  
Our Ref: 1659.0800000

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. Copy of Notice to File Missing Parts of Application dated October 15, 1999;
2. Fee Transmittal (*in duplicate*);
3. Original executed Declaration and Power of Attorney;
4. Request for Corrected Official Filing Receipt, along with a copy of the official filing receipt with corrections noted in red ink;
5. Our Check No. 26198 for \$890.00 to cover:  
\$760.00 Filing Fee for Patent Application (37 C.F.R. § 1.16); and  
\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and
6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

Assistant Commissioner for Patents

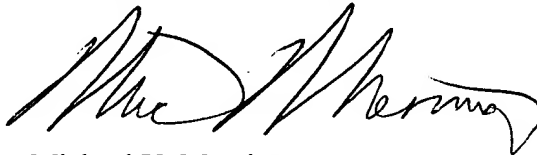
December 15, 1999

Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Michael V. Messinger", written in a cursive style.

Michael V. Messinger  
Attorney for Applicants  
Registration No. 37,575

MVM:jmh  
Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**

for FY 1999

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement  
 otherwise large entity fees must be paid. See Forms PTO/SB/17  
 See 37 C.F.R. §§ 1.27 and 1.28.

DEC 15 1999

**Complete if Known**

Application Number	09/407,115
Filing Date	September 27, 1999
First Named Inventor	Larry W. Fullerton
Examiner Name	to be assigned
Group / Art Unit	2734
Attorney Docket Number	1659.0800000

TOTAL AMOUNT OF PAYMENT (\$ 890.00)

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number	19-0036
Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed: ☒ Check No. 26198

☐ Money Order ☒ Other\*

\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	\$760.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 760.00)

**2. EXTRA CLAIM FEES**

Extra	Fee from below	Fee Paid
Total Claims 1 - 20** = 0 X		
Indep. Claims 1 - 3** = 0 X		
Multiple Dependent		

\*\* or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
108	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ NONE)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	

122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify):

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

**SUBMITTED BY****Complete (if applicable)**

Typed or Printed Name

Michael V. Messinger

Reg. Number

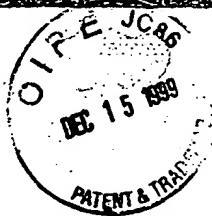
37,575

Signature

Date

December 15, 1999

Deposit Acct. User ID



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/407,115	09/27/99	FULLERTON	L 1659.0800000

0262/1015  
STERNE KESSLER GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVE NW  
SUITE 600  
WASHINGTON, DC 20005-3934

NOT ASSIGNED

2734

DATE MAILED: 10/15/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 760

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

1999 IPR083650 00000065 09407115

760.00 DP  
130.00 DP

101  
105



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Larry W. Fullerton et al.

Appl. No. 09/407,115

Filed: September 27, 1999

For: **Wireless Local Areal Audio/Visual  
Information Distribution System  
and Method By Impulse Radio**

Art Unit: 2734

Examiner: to be assigned

Atty. Docket: 1659.0800000

**Request for Corrected Official Filing Receipt**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

Attn: Box Missing Parts

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

**In the Title:** Please change "WIRELSS" to --WIRELESS--.

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

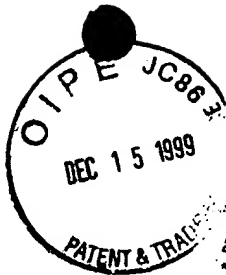
Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger  
Attorney for Applicants  
Registration No. 37,575

Date: 12/5/99  
1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
(202) 371-2600

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/407,115	09/27/99	2734	\$0.00	1659:0800000	12	1	1

STERNE KESSLER GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVE NW  
SUITE 600  
WASHINGTON DC 20005-3934

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) LARRY W. FULLERTON, RESIDENCE NOT PROVIDED; IVAN A. COWIE, RESIDENCE NOT PROVIDED; TIMOTHY T. PAYMENT, RESIDENCE NOT PROVIDED; JAMES RICHARDS, RESIDENCE NOT PROVIDED.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/14/99  
TITLE

WIRELESS LOCAL AREA AUDIO/VISUAL INFORMATION DISTRIBUTION SYSTEM AND METHOD BY IMPULSE RADIO

PRELIMINARY CLASS: 375

Wireless

